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INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

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Sheet 1 of 2

Complete if Known	
Application Number	09/898,850
Filing Date	July 3, 2001
First Named Inventor	Ungerboeck
Group Art Unit	2634
Examiner Name	D.V. Ha
Attorney Docket Number	13226US02

U.S. PATENT DOCUMENTS

Examiner Initial*	Cite No.[1]	Document Number Number-Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DH		5,140,417	08.1992	Tanaka	
DH		5,253,078	10.1993	Balkanski et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3]-Number[4]-Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

OTHER ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
DH		T.M. Cover, et al., Elements of Information Theory, Wiley Series in Telecommunications, A Wiley-Interscience Publication, 1991, pgs. 1-542
DH		J.M. Wozencraft et al., Principles of Communication Engineering, Chapter 6-"Implementation of Coded Systems," John Wiley & Sons, Inc., 1965, pgs. 363-484
DH		D.A. Huffman, "A Method for the construction of minimum-redundancy codes," Proc. IRE, vol. 40, 1952, pgs. 1098-1101
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DH		G. D. Forney, Jr., "Trellis shaping," IEEE Trans. Inform. Theory, vol. 38, March 1992, pgs. 281-300
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DH		G. R. Lang et al., "A Leech lattice modem," IEEE J. Select. Areas Commun., vol. 7, August 1989, pgs. 968-973
DH		G. Ungerboeck et al., Broadcom Corporation, "Coding for V.90 Issue 2," TR-30.1/99-11-064R1, Telecommunications Industry Association, Clearwater Beach, FL, November 29, 1999

EXAMINER SIGNATURE		DATE CONSIDERED	05/11/06

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DH		G. Ungerboeck, "Channel Coding with Multilevel/Phase Signals," IEEE Transactions on Information Theory, vol. IT-28, no. 1, January 1982, pgs. 55-67
DH		G. Ungerboeck, "Trellis-coded Modulation with Redundant Signal Sets, Part 1: Introduction," IEEE Communications Magazine, Vol. 25, No. 2, February 1987, pgs. 5-11
DH		H. Harashima et al., "Marched-transmission technique for channels with intersymbol interference," IEEE Trans. Commun., vol. COM-20, August 1972, pgs. 774-780
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DH		ITU-T Recommendation V.90 (09/98)
DH		ITU-T Recommendation V.32 (02/98)

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